

Unit Trust Application Form

Close OLIM UK Equity Income Fund Close Asset Management (UK) Limited

This share class is available to investment advisers providing fee-based advice to underlying investors, distribution partners and direct investors. This share class does not pay commission.

Close Brothers Asset Management is a trading name of Close Asset Management (UK) Limited, the manager of the fund.

Before investing:

- You may obtain a copy of the current Key Investor Information Document and/or the most recent Annual or Interim report and accounts from Close Asset Management (UK) Limited on our website www.olim.co.uk
- If you need advice on whether this investment is suitable for you consult your professional financial adviser. Please remember that the value of investments can go down as well as up and you may get back less than invested.

How do I invest in a Fund?

To make an investment either:

- Telephone your order to our dealers on 0370 606 6402 (calls to this number will be recorded);
- Visit our website www.olim.co.uk and print, complete and post an application form along with a cheque made payable to Close Asset Management (UK) Limited to Close Brothers Asset Management, BNY Mellon House, Ingrave Road, Brentwood, Essex, CM15 8TG. If your cheque is drawn on a building society account, please make the cheque payable to: "Close Asset Management (UK) Limited Reference [Insert Your Name]".

Please complete in BLOCK capitals using black ink. Please note any sections that are not completed correctly may incur delays or may have to be returned to you.

1. Applicant 1 – Main Account Holder

Title

Mr Mrs Miss Ms Other

Date of birth / /

First name(s) in full

Surname

Nationality

Marital status

Permanent residential address ('care of' and PO Box are not acceptable).
Only UK mainland and Northern Ireland addresses are acceptable.

Telephone number

Email address

National Insurance number (not applicable to Trustees)

Postcode:

2. Applicant 2 – (if applicable)

Title

Mr Mrs Miss Ms Other

Date of birth / /

First name(s) in full

Surname

Nationality

Marital status

Permanent residential address ('care of' and PO Box are not acceptable).
Only UK mainland and Northern Ireland addresses are acceptable.

Postcode:

Telephone number

Email address

National Insurance number (not applicable to Trustees)

3. Amount of subscription

Single investment amount

£

Please tick the appropriate method of payment:

Cheque BACS Direct Debit

Cheques should be made payable to Close Asset Management (UK) Limited.

BACS payments to: Sort Code: 16-04-00, Account Number: 20008832, Account Name: Close Asset Management (UK) Ltd.

If you are paying your single contribution by Direct Debit, please fill out the attached mandate. Please allow up to 15 working days from receipt of your confirmation schedule for collection of your contribution.

We do not accept third party payments. Cheques and direct credit transfers should be issued from your personal or joint bank account. If an application is accompanied by a bankers draft or building society cheque, please ensure the bank or building society enters your name and address on the reverse of the cheque/draft and adds their stamp and signature.

Regular investment amount

£ Payment start date / /

Frequency Monthly Quarterly Half Yearly Yearly

If you are paying your regular instalments by direct debit, please fill out the attached mandate. Please allow up to 15 working days from receipt of your confirmation schedule before the first regular instalment is collected.

4. Share class choice

Please indicate the amount(s) you wish to invest. Your investment will be into either Accumulation or Income where applicable.

| Accumulation | Income | Close Specialist Fund | Unit Trust Application | |
|----------------------|----------------------|----------------------------------|-----------------------------------|---|
| | | | Lump sum amount Minimum £1,000 | Regular monthly savings Minimum £250 |
| <input type="text"/> | <input type="text"/> | Close OLIM UK Equity Income Fund | £ <input type="text"/> | £ <input type="text"/> |

Regular Savings

In order to open your Monthly Savings Plan you MUST COMPLETE the Direct Debit Mandate (supplied) to allow us to automatically deduct the amount you have decided to save from your bank / buildings society account.

Please note that the first instalment must be by cheque. It should be drawn on the same UK bank or building society account from which the monthly savings direct debits are made. Please make your cheque payable to "Close Asset Management (UK) Limited".

5. Income – please indicate where you would like your dividends to be paid by ticking the appropriate box

Dividend re-investment options (income units only)

Re-invest dividends

Pay dividends to my Close Cash Account

Pay dividends to my nominated bank account

Pay dividends to my Product Cash Account

6. Payment and withdrawal details

Bank details

Name of account holder

Account number/building society number

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Bank or building society name and address

Branch sort code

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Postcode

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7. Notes

If you have any additional information which is relevant to your investment, please give details in the box below.

8. Reporting

Agent's stamp and signature

We confirm that we have verified the client(s) for money laundering purposes in line with the UK Money Laundering Regulations and JMLSG Guidance.

Furthermore, we confirm that the client(s) has/have been verified via documentary evidence and that the client(s) is / are applying on their own behalf.

Agent's Stamp (to be completed by agent if appropriate)

FCA reference number

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Data Protection

Your personal details will be held by or on behalf of Close Asset Management (UK) Limited in accordance with current data protection law for the purposes of carrying out our agreement with you. This may include:

- transferring such information to other members of the Close Brothers Group and to other businesses (in both cases including offices based in countries having different standards of protection for personal information outside the European Union) where each transfer is necessary for the provision of services in relation to any Close Brothers Group product or service;
- providing you with information about other products and services.

By signing this form, you agree that you may be sent information about the Close Brothers Group other products and services. If you do not wish to give this consent please tick this box.

We will keep confidential all information acquired in the course of providing products and services to you unless:

- such information is in the public domain;
- we are required to disclose such information by law or by regulatory or tax authorities (including disclosing information to HMRC which then may be transferred to the government of another territory in accordance with any international information sharing agreement); or
- you consent to the disclosure.

9. Declaration and signature for Unit Trust applications

Declaration to be completed by all applicants.

This is our standard client application form for the Unit Trust funds upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing them. If you do not understand any point please ask for further information.

I declare that:

- To the best of my knowledge and belief, the information I provide is true and correct and I will notify Close Asset Management (UK) Limited of any changes;
- I understand the risk of investments and this investment represents a small percentage of my total assets;
- I wish to invest the sum shown in Units in the Fund(s);
- I am over 18 years of age;
- I am not a US person or investing on behalf of a US person;
- Close Asset Management (UK) Limited and its administrators are authorised to use the information provided by me for the purposes of verifying my identity in accordance with the Money Laundering Regulations, including using a licensed reference agency;
- I have read the information contained in this form and the Fund prospectus and request Close Asset Management (UK) Limited to act in accordance with my instructions;
- A copy of the Key Investor Information Document has been supplied to or made available to me and I acknowledge I have read it;
- I understand that this application is made on the basis of the full Prospectus, a copy of which has been made available to me;
- I confirm that I have read the Prospectus; including the provisions in relation to Close Asset Management (UK) Limited's use of the Delivery versus Payment exemption from the requirement to treat client money as 'client money' in accordance with the FCA Handbook of Rules and Guidance. I hereby consent to Close Asset Management (UK) Limited making use of the Delivery versus Payment exemption as set out in the relevant section of the Prospectus. I am aware that in the event that Close Asset Management (UK) Limited becomes insolvent or otherwise fails, there is a risk of loss or delay in the return of any money held by Close Asset Management (UK) Limited that is not treated as 'client money' as a result of Close Asset Management (UK) Limited's use of the Delivery versus Payment exemption. There would also be no guarantee that all or any of the money would be returned to me.
- I understand that as required by the Money Laundering Regulations I may be asked to provide evidence of identity and that the failure to provide such evidence within a reasonable time will lead to the sale of any Units purchased and the return of my proceeds (which may be less than the original investment amount).

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| Print name (in BLOCK CAPITALS) | | | | | | | | | | Print name (in BLOCK CAPITALS) | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | |
| Signature – Applicant 1 – Main account holder | | | | | | | | | | Signature – Applicant 2 | | | | | | | | | | | | | |
| X | | | | | | | | | | X | | | | | | | | | | | | | |
| Date | | D | D | / | M | M | / | Y | Y | Y | Y | Date | | D | D | / | M | M | / | Y | Y | Y | Y |

Close Brothers Asset Management
 BNY Mellon House
 Ingrave House
 Brentwood
 Essex
 CM15 8TG

Please complete this form in BLOCK CAPITALS and in black ink.

Instruction to your bank or building society to pay by Direct Debit.

Please pay Close Asset Management (UK) Limited from the account detailed in this instruction subject to the safeguards assured Direct Debit Guarantee. I understand that this instruction may remain with Close Asset Management (UK) Limited and, if so, details will be passed electronically to my bank/building society. Banks and building societies may not accept Direct Debit instructions for some types of accounts.

Name(s) of account holder – third parties are not accepted

Service User Number

916307

Bank/building society account number

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Reference

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Branch sort code

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Name and full postal address of your bank or building society

To: The Manager

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| Postcode |
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Instructions to your bank or building society

Please pay Close Asset Management (UK) Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Close Asset Management (UK) Limited and, if so, details will be passed electronically to my bank/building society.

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| Print name (in BLOCK CAPITALS) |
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| Print name (in BLOCK CAPITALS) |
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| Signature |
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| Date | | D | D | / | M | M | / | Y | Y | Y | Y |
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Please retain this guarantee for your records.

The Direct Debit Guarantee



- This guarantee is offered by all banks and building societies that accept instructions to pay by Direct Debit.
- If there are any changes to the amount, date or frequency of your Direct Debit, Close Asset Management (UK) Limited will notify you 10 business days in advance of your account being debited or as otherwise agreed. If you request Close Asset Management (UK) Limited to collect a payment, confirmation of the amount and the date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit by Close Asset Management (UK) Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Close Asset Management (UK) Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please notify us.

