

# SMITH & WILLIAMSON FUND ADMINISTRATION LIMITED

## SMITH & WILLIAMSON CHARITY VALUE AND INCOME FUND

If you have any queries please contact Smith & Williamson Fund Administration Limited on 0141 222 1151. Applications can be sent by fax to 0207 131 8261 with the original to follow in the post

### REGISTRATION DETAILS

BLOCK CAPITALS

Address		Registered Charity Number or Inland Revenue Reference Number	
		Signature	Date
		Name	
Postcode		Signature	Date
Contact Name		Name	
Contact Number			

If you are an existing unit holder please provide your plan number.

### PAYMENT

Please make your cheque payable to **Smith & Williamson Fund Administration Limited** and return it with this application form to **206 St Vincent Street, Glasgow, G2 5SG**.

Or telegraphic transfers can be made to the following account.

Branch: Sort Code 15-10-00 Royal Bank of Scotland – City Office

Account number: 17487062 Account name: Smith & Williamson Fund Administration Limited

### INCOME PAYMENTS

Please indicate how you wish to have the income paid:

**DIRECT TO BANK/BUILDING SOCIETY** – please give payment details

Bank or Building Society

Branch Title

Account Name

Account Number

Sort Code   -   -

**RE-INVESTMENT**

### REDEMPTION PROCEEDS

Please provide bank details for where you wish redemption proceeds to be paid. If details are the same as the above please tick  this box:

Bank or Building Society	<input type="text"/>
Branch Title	<input type="text"/>
Account Name	<input type="text"/>
Account Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Sort Code	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>

### DATA PROTECTION

We shall record any information (including, where appropriate, personal data as defined in the Data Protection Act 1998) which we receive about you and your investments (whether in relation to this fund or any other) in physical and electronic form and will organise and process such information as we think appropriate in connection with the administration of your investments.

We may: (i) disclose your information where under a legal or regulatory obligation to do so; (ii) use your information for marketing, research and statistical analysis purposes; and (iii) disclose your information to third party entities which provide us with administrative support and technological back up services.

We may disclose your information to third party promoters of this fund only (but not to any other third parties) for marketing, research, statistical analysis and administration purposes.

If you would prefer us not to disclose your information to such third parties, please tick this box.

Any person about whom we hold personal data has the right upon payment of a fee to obtain a copy of that personal data.

### INVESTMENT

Please indicate the amount you wish to invest in the Unit Trust. (Please note the minimum initial investment)

UNIT TRUST	AMOUNT TO INVEST	MINIMUM INITIAL INVESTMENT	UNIT TRUST	AMOUNT TO INVEST	MINIMUM INITIAL INVESTMENT
Income Units	£ <input type="text"/>	£1000.00	Accumulation Units	£ <input type="text"/>	£1000.00

**FORM OF AUTHORISATION**

Inland Revenue Claims Branch Charity Division Reference No:

TO: The Commissioners of the Inland Revenue

Date:

Re: Charity Value and Income Fund (the "Fund") Bank of New York Trust & Depositary Compnay Limited (the "Trustee")

Full title of the Exempt Charity Fund:

We are acquiring shares in the Charity Value and Income Fund.

As you know, no person may become or remain a shareholder of any shares in the fund, unless such person is and Exempt Charity Fund (the "Condition").

n order to ensure compliance with the Condition, we hereby authorise and request you to inform the Trustee of the Trust immediately that the Fund named above is an "exempt charity fund at any time in ceases to be an exempt charity fund. All communications should be address to the Trustee at its offices at One Canada Square, London, E14 5AL.

We appreciate that the giving of this Authority in no way relieves us of our own responsibility under the Trust Deed entered into between the Trustee and the Trust Manager constituting the Trust to notify the Trustee immediately if we cease to comply with the Condition. We also confirm that this Authority shall remain in effect until receipt by you of written notice from us to the contrary.

Yours Faithfully

Please sign

Please print name

**Important Note:**

**"Exempt Charity Fund" means any person:**

- (a) other than a person who, if a unit holder, would cause the Trust not to qualify for exemption from tax on chargeable gains under section 100(2) of the taxation of Chargeable Gains Act 1992; and**
- (b) who is a charity as defined in section 506 of the Income and Corporation Taxes Act 1988.**

Notwithstanding any of the above, no persons whatsoever shall be Exempt Charity Fund if the exemption of the trust from taxation on chargeable gains under section 100(2) of the taxation of Chargeable Gains Act 1992 or the exemption from Stamp Duty Land Tax under paragraph 4 of schedule 8 to the Finance Act 2003 would be prejudiced by such person holding unit.

This Form of Authorisation will be forwarded to the Inland Revenue by the Fund Administrator on behalf of Trustee.

**Smith & Williamson Fund Administration Limited**  
**Registered in England at 25 Moorgate, London EC2R 6AY No 1934644**  
**Authorised and regulated by the Financial Services Authority**